IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Ian Redmond; Bernard Bell; Curt Shuman

CENTRAL FAX CENTER

Assignee:

DPHI Acquisitions, Inc.

JUL 2 6 2004

Title:

Beamshaper for Optical Head

Application No.:

09/764,026

January 16, 2001 Filing Date:

Examiner:

Michael V. Battaglia

Group Art Unit:

2652

Docket No.:

M-8535-2P US

Confirmation No.:

8054

Irvine, California July 26, 2004

### Via Facsimile to (703) 872-9306

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## CERTIFICATION OF FACSIMILE TRANSMISSION

I hereby certify that the following documents are being facsimile transmitted to the U.S. Patent and Trademark Office on the date shown below:

- 1) Transmittal Letter (1 page);
- 2) Claim of Small Entity Status (1 page);
- 3) Petition for Extension of Time (1 page); and

3) Response to Office Action ( pages).

Dated: July 26, 2004

Registration No. 42,622

Number of pages (including this sheet): <a> \langle \langle</a>

LAW OPFICES OF HERSON KWOK CHEN & MUD ILP

2 TECHNOLOGY DRIVE SUITE 226 IAN IOSE, ČA 93118 (949) 752-7040 FAX (403) 392-9262

MacPherson Kwok Chen & Heid LLP 1762 Technology Drive, Suite 226 San Jose, CA 95110

Telephone: (949) 752-7040

Fax: (408) 392-9262 and (949) 752-7049

Fax to USPTO

# MacPherson Kwok Chen & Heid LLP

1762 Technology Drive, Suite 226

San Jose, CA 95110

Tel. (408) 392-9250 Fax (408) 392-9262 2402 Michelson Drive, Suite 210

Irvine, CA 92612

Tel. (949) 752-7040

Fax (949) 752-7049

Email: mailbox@ macpherson-kwok.com

June 30, 2004

#### Via Facsimile to (703) 872-9306

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450

Alexandria, VA 22313-1450

Applicants:

Ian Redmond; Bernard Bell; Curt Shuman

Assignee:

DPHI Acquisitions, Inc.

Title:

Beamshaper for Optical Head

Application No.:

09/764,026

Piling Date:

January 16, 2001

Examiner:

Michael V. Battaglia

Group Art Unit: Confirmation No.: 2652 8054

Docket No.:

M-8535-2P US

#### Dear Sir:

· . . .

Transmitted herewith are the following documents in the above-identified application:

- (1) Certification of Facsimile Transmission (1 page);
- (2) This Transmittal Letter (1 page);
- (3) Claim of Small Entity Status (1 page);
- (4) Petition for Extension of Time (1 page) and
- (5) Response to Office Action ( pages).

	No additional fee is required.
Ø	The fee has been calculated as

The fee has been calculated as shown below:

### CLAIMS AS AMENDED

Ţ.	Claims Re	_		Highest No. Previously Paid For		Present Extra		Rate		Additional <u>Fee</u>
Total Claims	13	9	Minus	22	=	0	x	\$ 9.00	\$	-0-
Independent Claims	3		Minus	6	-	0	x	\$43.00	\$	-0-
Fee of \$	145.00 for ent claims p		-	or more multi	ple	,			\$	•
										210.00
• .	Total additional fee for this Amendment:									
timely f	iling of the	enclosed a	iocument(s	me: If an extens) after all papers hereby reques	rs file				nave	
🔀 💮 Please o	Please charge our Deposit Account No. 50-2257 in the amount of									210.00
	arge any ao t No. 50-22		ees require	d and credit an	y over	payment 1	to oi	ur Deposi	t	
							T	otal:	\$	210.00
Certi	fication of F	acsimile Tra	nsmission		Dass	neotfully	<b>L</b>			•

I hereby certify that this paper is being facsimile transmitted to the U.S. Patent and Trademark Office on the

date shown below.

Jonathan W. Hallman

July 26, 2004 Date of Signature Respectfully submitted,

Jonathan W. Hallman Attorney for Applicants

Reg. No. 42,622

PAGE 2/12 \* RCVD AT 7/26/2004 10:13:15 PM [Eastern Daylight Time] \* SVR:USPTO-EFXRF-1/1 \* DNIS:8729306 \* CSID:9497527049 \* DURATION (mm-ss):04-12

JUL 2 6 20114

## IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants:

Ian Redmond; Bernard Bell; Curt Shuman

Assignee:

DPHI Acquisitions, Inc.

Title:

Beamshaper for Optical Head

Application No.:

09/764,026

Filing Date:

January 16, 2001

Examiner:

Michael V. Battaglia

Group Art Unit:

2652

Docket No.:

M-8535-2P US

Confirmation No.:

8054

Irvine, California July 26, 2004

## Via Facsimile to (703) 872-9306

Mail Stop AMENDMENT Commissioner for Patents P.O. Box 1450 Alexandria, VA 22313-1450

## **CLAIM OF SMALL ENTITY STATUS**

Dear Sir:

Applicants hereby claim the benefit of small entity status for the above-identified patent application.

If there are any questions regarding any aspect of the application, please contact the undersigned at (949) 752-7040.

Certification of Facsimile Transmission

I hereby certify that this paper is being facsimile transmitted to the U.S. Patent and Trademark Office

on the date show below.

Jonathan W. Hallman

July 26, 2004

Date of Signature

Respectfully submitted,

Jonathan W. Hallman Attorney for Applicants

Reg. No. 42,622

Law offices of Macpherson, Kwok Chen & Heid Lid 2402 MICHELSON DRIVE

SUITE 210 IRVINE. CA 92613 (949) 752-7040 FAX (949) 732-7049

Page 1 of 1

Serial No. 09/764,026